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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

105

In re application of: Booth et al.

Docket No.: YO999-190

Serial No: 09/327,708

Group Art Unit: 2766

Filed: June 8, 1999

Examiner:

For: REPRESENTING, CONFIGURING, ADMINISTERING, MONITORING, AND/OR  
MODELING CONNECTIONS USING CATALOGS AND MATRIXES

Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)**

I hereby certify that the attached correspondence comprising:

- 1) Notice to File Missing Parts of Application
- 2) Deposit Account Authorization Form
- 3) Signed Declaration and Power of Attorney
- 4) Recordation Form Cover Sheet
- 5) Assignment to IBM
- 6) Return Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

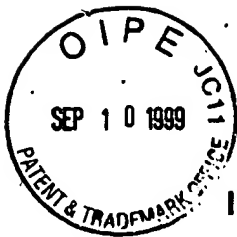
on September 7, 1999

Jennifer A. Smith

(Type or printed name of person mailing paper or fee)

Jennifer A. Smith

(Signature of person mailing paper or fee)



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**DEPOSIT ACCOUNT AUTHORIZATION FORM**

Sir:

In response to the Notice to File Missing Parts of Application dated July 21, 1999, this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,

Louis P. Herzberg  
Reg. No.: 41,500  
Tel. No.: (914) 945-2885

IBM Corporation  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, New York 10598

IBM



09-0468



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET
09/327,708	06/08/99	BOOTH	E - Y08

LOUIS P HERZBERG IPLD  
IBM CORPORATION  
P O BOX 218  
YORKTOWN HEIGHTS NY 10598

0262/0721

NOT ASSIGN

2766

DATE MAILED:

07

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

*Due 9/1*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) or for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the applicant by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

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